

Docket No. RSW9-99-129

2131
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: K. Attwood, et al

Serial No.: 09/502,478

Filed: 02/11/2000

For: Technique of Defending against Network Connection Flooding Attacks

Assistant Commissioner of Patents

Washington, D.C. 20231

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Information Disclosure Statement and form PTO-1449 and 2 references.
2. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents

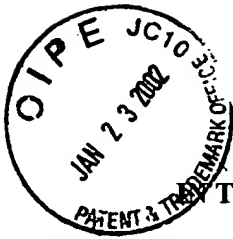
Washington, D.C. 20231

on December 14, 2001

Catherine M. Robbins

(Name of person mailing paper or fee)

Catherine M. Robbins
(Signature of person mailing paper or fee)



THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : December 14, 2001
K. Attwood, et al : IBM Corporation
Filing Date: 02/11/2000 : Dept. T81/Bldg. 503
Serial No. 09/502,478 : P.O. Box 12195
For: Technique of Defending Against Network Connection : Research Triangle Park,
Flooding Attacks : North Carolina 27709

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.

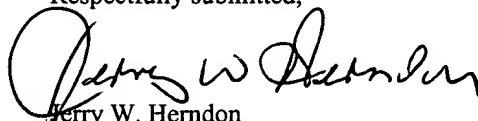
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(e) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,


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